

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A
FILING UNDER 35 U.S.C. 371**

186691/US

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/536772

INTERNATIONAL APPLICATION NO.

PCT/CN2003/000845

INTERNATIONAL FILING DATE

08-10-2003

PRIORITY DATE CLAIMED

27-11-2002

TITLE OF INVENTION

A HUMAN TUMOR-ASSOCIATED GENE CT120 ON CHROMOSOME 17P 13.3 REGION AND PROTEIN ENCODED BY IT

APPLICANT(S) FOR DO/EO/US

SHANGHAI CANCER INSTITUTE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not transmitted by the International Bureau).
 - b. ☐ had been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☒ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4)
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Submission under 37 C.F.R. 3.73(b)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) Unknown 10/536772		INTERNATIONAL APPLICATION NO. PCT/CN2003/000845		ATTORNEY'S DOCKET NUMBER 186691/US									
21. The following fees have been submitted: <input checked="" type="checkbox"/> a) Basic national fee \$300.00				CALCULATIONS	PTO USE ONLY								
				\$ 300.00									
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-- 4)..... \$100.00 All other situations..... \$200.00													
				\$ 200.00									
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority..... \$100.00 International Search Report prepared and provided to the Office \$400.00 All other situations \$500.00													
TOTAL OF 21, 22 and 23 =				\$ 400.00									
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:15%;">Total Sheets</th> <th style="width:15%;">Extra Sheets</th> <th style="width:35%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width:15%;">RATE</th> </tr> <tr> <td>22 - 100</td> <td>0 /50 =</td> <td>0</td> <td>x \$250.00</td> </tr> </table>				Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	22 - 100	0 /50 =	0	x \$250.00		
				Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE						
22 - 100	0 /50 =	0	x \$250.00										
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00									
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE									
Total claims		19 -20 =	0	x \$50.00	\$0.00								
Independent claims		3 -3 =	0	x \$200.00	\$0.00								
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$360.00								
TOTAL OF ABOVE CALCULATIONS =				\$1260.00									
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00									
SUBTOTAL =				\$1260.00									
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0.00									
TOTAL NATIONAL FEE =				\$1260.00									
Fee for recording the enclose assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$40.00									
TOTAL FEES ENCLOSED =				\$1300.00									
				Amount to be refunded:	\$								
				charged:	\$								
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1300.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>04-1420</u> in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-1420</u> A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 NOTE: Where an appropriate time limit under 37 CFR 1.494 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.													
SEND ALL CORRESPONDENCE TO: CUSTOMER NUMBER 25763													
Min (Amy) S. Xu Dorsey & Whitney LLP Intellectual Property Department Suite 1500 50 South Sixth Street Minneapolis, MN 55402-1498													
			Signature _____ (Date) <u>5-26-2005</u>										
			Name <u>Min (Amy) S. Xu</u>										
			<u>39,536</u>										
			Registration Number _____										

10/536772

26 MAY 2005

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

JC16 Rec'd PCT/PTO

Applicant(s): Jianren Gu

186691/US

Serial No.
UnknownFiling Date
HerewithExaminer
UnknownGroup Art Unit
Unknown

Invention: A Human Tumor-Associated Gene CT120 on Chromosome 17P 13.3 Region and Protein Encoded by It

I hereby certify that the following correspondence:

Transmittal Letter to DO/EO/US; Application; Figures; Declaration; IDS; Assignment; Application Data Sheet; Power of Attorney; Submission of Sequence Listing; 3.73(b); Diskette; Check for \$1300.00; and Postcard.

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

May 24, 2005
(Date)

Kim Anderson

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)

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